

FINAL REPORTS ISSUED	01 May to 02 August 2013
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ref	Audit	Risk	Original potential days	Final Report Issued	Days Taken	Recommendations Made				Audit Opinion	
						No.	Risk Level				
						total	4	3	2		1
COR20	Corporate Partnerships - LSP	3	10	15/07/13	9	0	0	0	0	0	Substantial
OP29	Facilities Management	3	10	18/07/13	12	3	0	1	2	0	Adequate
OP31	Local Land Charges	2	10	20/05/13	8	5	0	1	4	0	Adequate
					total	8	0	2	6	0	

RISK LEVEL 3 & 4 RECOMMENDATIONS**01 May to 02 August 2013**

ref	Audit	Ref	Recommendation	Risk	Agreed / Not agreed	Officer Responsible	Officer Comments	Implementation date
OP29	Facilities Management	02	It is recommended that a Maintenance Policy to maintain the asset to a level that retains its physical condition and market value is in place.	3	Agreed.	Assistant Director of Corporate Services	The condition survey will dictate the work required. This condition survey essentially becomes the council's "maintenance policy". Officers see no requirement for a separate policy document. In addition, the council already has an asset management plan and, for the housing stock, a draft asset management development policy	31/03/14
OP31	Local Land Charges	01	That the uniform software should be updated immediately to ensure that it accurately reflects all conservation area amendments.	3	Agreed	Assistant Chief Executive - Legal		31/11/13

PERFORMANCE AGAINST the 2013/14 AUDIT PROGRAMME
(reviewed June 2013)**01 May to 02 August 2013**

ref	Audit	Risk 13/ 14	Qtr	original potential days	Started	Draft Report issued	Final Report Issued	Days Taken	Status	Comment
	Key Financial - Corporate									
KF01 C	General Ledger	4	3	15						
KF02 C	Cash & Bank	2	4	10						
KF03 C	Corporate Asset Management	2	3	5						
KF04 C	Corporate Budgets	2	2	5						
KF05 C	Corporate Contracts & Procurement	2	2	10	22/07/13			0.5	planning	
KF06 C	Corporate Income	2	1	10	01/05/13			8	testing	
	Key Financial - Operational									
KF07 O	Council Tax	4	3	10						
KF08 O	Creditors	4	3	10						
KF09 O	Housing Benefits	4	3	10						
KF10 O	Housing Rents	4	4	10						
KF11 O	Housing Repairs	4	3	5						
KF12 O	NNDR	4	4	10						
KF13 O	Payroll & HR	4	3	15						
KF14 O	Recovery Team (includes Sundry DR)	3	4	15						
KF15 O	<i>Sundry Debtors</i>	4	3	0						
KF16 O	Taxation	3	2	5						
KF17 O	Treasury Management	2	2	5						
	Corporate									
COR18	Corporate Governance & AGS	3	1	10	02/04/13	01/05/13		8	draft	AGS work only
COR19	Corporate Access to Services	3	1	15	01/05/13			13	testing	
COR20	Corporate Partnerships - LSP	3	1	10	05/04/13	24/06/13	15/07/13	9	final	
COR21	Corporate ICT	3	4	15						
COR22	Corporate Equality & Diversity	3	4	5						
COR23	Corporate Training	2	4	10						
COR24	Corporate Performance Management	2	2	10	25/06/13			7	planning	

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ref	Audit	Risk 13/ 14	Qtr	original potential days	Started	Draft Report issued	Final Report Issued	Days Taken	Status	Comment
	Operational									
OP25	Housing Rent Deposit Scheme	4	1	5	16/04/13	17/06/13		7	draft	
OP26	Street Services	4	3	15						
OP27	Emergency Planning	3	1	10	03/04/13			7	testing	
OP28	Environmental Health	3	1	15	09/05/13			12	testing	
OP29	Facilities Management	3	1	10	15/04/13	29/05/13	18/07/13	12	final	
OP30	House Sales	3	2	10						
OP31	Local Land Charges	2	1	10	03/04/13	15/05/13	20/05/13	8	final	
OP32	Car Parking Partnership	3	2	10						
	total planned audit days			310						
	<i>Members' Allowances & Expenses</i>	2		<i>p</i>	Potential audit work 2013/14					
	<i>Elections</i>	2		<i>p</i>						
	<i>Economic Development Service</i>	3		<i>p</i>						
	<i>Housing Contract Systems</i>	3		<i>p</i>						
	<i>Building Control Service and Fees</i>	2		<i>p</i>						
	<i>Community Safety - including anti social behaviour</i>	2		<i>p</i>						
	<i>Planning - Development Management (control)</i>	2		<i>p</i>						
	<i>Planning - Support & Advice (planning fees)</i>	2		<i>p</i>						